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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):
Michael P. Spratt

Group Art Unit: 2661

Serial No.: 10/057,741

Examiner: unknown

Filed: January 23, 2002

Our Ref.: B-4469 619470-0

For: "LOCATION DATA VALIDATION BY
STATIC ENTITIES RECEIVING DATA ITEMS
BY SHORT-RANGE COMMUNICATION"

Date of this paper:

July 8, 2002

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 05/14/2002 (copy attached).

DECLARATION OR OATH

☒ Please find enclosed the Declaration/Power signed by the inventor.

AMENDMENT OF CLAIMS

☐ Please cancel claims _____ inclusive

☐ Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

| | |
|--|-----------------|
| <input checked="" type="checkbox"/> Filing fee (37 CFR 1.16(a) - 740.00) | <u>\$740.00</u> |
| (small entity - 370.00) | |
| <input type="checkbox"/> Fees for claims | |
| <input type="checkbox"/> Independent claim in excess of 3 | |
| (37 CFR 1.16(b) - 84.00) | |
| (small entity - 42.00) | |
| <input type="checkbox"/> Claims in excess of 20 | |
| (37 CFR 1.16(c) - 18.00) | |
| (small entity - 9.00) | |

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Date: July 8, 2002

Karyn Lao

(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

Completion of Filing Requirements (Page 1 of 2)

☐ Multiple dependent claim(s)
(37 CFR 1.16(d) - 260.00)
(small entity - 130.00)

☒ Surcharge fees

☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00)

AND

☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$ 130.00
(small entity - 65.00)

☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00)

☐ Recording assignment
(37 CFR 1.21(h)(i) 40.00)

☐ Attached is an assignment to

☒ Claim to Priority with priority documents

Total fees: \$870.00

SMALL ENTITY STATUS

☐ A verified statement that this filing is by a small entity is

☐ Attached

☐ Already filed on

☐ W/cover sheet

PAYMENT OF FEES

☒ Enclosed is a check in the amount of \$870.00

☐ Charge Account No. 12-0415 in the amount of
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose
the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

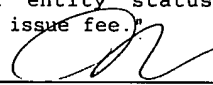
☒ The Commissioner is hereby authorized to charge the following
additional fees which may be required or credit any overpayment to
Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of
Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in
loss of entitlement to small entity status must be filed in the
application... prior to paying... issue fee."


Richard P. Berg
Attorney for the Applicant
Registration No.: 28,145

c/o LADAS & PARRY
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Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202



UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 12 2002

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILED/RECEIPT DATE | FIRST-NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|--------------------|-----------------------|------------------------|
| 10/057,741 | 01/23/2002 | Michael P. Spratt | B-4469 619470-0 |

 LADAS & PARRY
 Suite 2100
 3670 Wilshire Boulevard
 Los Angeles, CA 90036-5679

CONFIRMATION NO. 5399

FORMALITIES LETTER



OC000000008105648

Date Mailed: 05/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$870 for a Large Entity

- \$740 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

A copy of this notice **MUST** be returned with the reply.

7-14-02 EXT

Response due to Mike to File Missing Parts (EXT 4/4)

08/11-14-02 INEXT

(EXT 4/4)

07/16/2002 JBALIN 00000026 10057741

740.00 DP
130.00 DP01 FC:101
02 FC:105

Haimanot Tegbaru

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY